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**Submit materials to:**

**Graduate Division**

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**Ph. D. Form II / Signature Page**

**Report on Final Examination for the Ph.D. Degree**

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| **STUDENT** | **Please complete this section, obtain signatures from your Doctoral Committee, and submit this form along with all other** [**required forms**](http://www.grad.uci.edu/forms/) **to the Graduate Division, 120 Aldrich Hall for electronic submission. Those students that are submitting a paper based dissertation need to submit this form, their dissertation and all other required forms to Special Collections and Archives, Langson Library, Room 525. Please note, by submitting this form, you confirm that the work presented in the dissertation/thesis is your own. Where information has been derived from other sources, you confirm that this has been indicated in the dissertation/thesis.** |
| **Student Name:**  |  |  | **Student ID Number:** |
|  **Last First Middle** |
| **Student Phone: (   )** | **Student e-mail address:** |
|                            **Permanent Address: Street City State Zip Code** |
| **Name of Degree: Ph.D. in**         |

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| **DOCTORAL COMMITTEE** | **Please complete this section and return the form to the student.** |
| **The doctoral committee is pleased to report upon the candidate’s final examination. Our recommendation is that the degree of Doctor of Philosophy is approved and is acceptable in quality and form for publication on microfilm and digital formats.****Title of Dissertation:****Date of Dissertation Defense:****Each signature below indicates that the respective committee member recommends that the degree of Doctor of Philosophy be conferred and acknowledges that they have advised the student on appropriate embargo times for his/her dissertation (please print your name, Academic Unit, and sign where indicated).1 This form serves as the official signature page for the dissertation. 2**  |
| **Committee****Chair** | **Name (please print)** | **Academic Unit** | **Signature** |
| **1 Note: Most candidates have only three committee members. The additional signature spaces are to be used only for those candidates who have more than three dissertation committee members.****2 Note: The University of California, Irvine requires an official original signature from each committee member on this required document in blue or black ink. No signatures by proxy will be accepted.** |
| **Department Chair/Graduate Advisor (sign) Date Associate Dean (sign) Date** **(Required for: Biological Sciences, Engineering, Humanities, ICS, Medicine, Social Ecology &**  **Social Sciences)** |

**Form continued on next page …**



**Ph. D. Form II / Signature Page**

**Report on Final Examination for the Ph.D. Degree**

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| **GRADUATE DIVISION** |  |
| **Requirements fulfilled:** | **[ ]  Language****[ ]  Residency** | **[ ]  Date Advanced to Candidacy****[ ]  Dissertation Approved** |
| **The candidate has satisfied all of the program requirements for the Doctor of Philosophy in****It is recommended that the degree be conferred as of (check one): [ ]  Fall [ ]  Winter [ ]  Spring [ ]  Summer** **(year)** |
| **Dean of the Graduate Division Date** |

**Continue to the next page …**



**DISSERTATION RELEASE FORM**

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| **REQUIRED:****CONSENT AND RELEASE** |  |
| **I authorize UC Irvine to release my dissertation PDF to the UC Irvine Library, ProQuest/UMI and eScholarship and have it be made available to the public electronically through the library catalog as soon as is feasible after my dissertation has been filed.****In addition to my approval of the copyright agreement with Proquest/UMI, I hereby grant a nonexclusive, worldwide, royalty-free copyright license to The Regents of the University of California (“University”) to reproduce, distribute, and display my dissertation/thesis at the University of California, Irvine (“the Work”), including: (i) the right to distribute the Work in whole or in part in all forms of media, now known or hereafter created, including display on the internet and through databases containing other works; and (ii) to electronically store, archive, copy and or convert the Work for preservation purposes. The Regents will credit me as the author of the Work. I represent and warrant that the Work: (a) is my original work and that I have full power to enter into this agreement; (b) does not infringe the copyright, intellectual property rights, or privacy rights of another; (c) contains no material which is obscene, libelous, or defamatory; and (d) I have not made, and will not hereafter make, any contract or commitment contrary to the terms of this Agreement or in derogation of the license granted to the University hereunder. I release and forever discharge the University and its officers, agents, and employees from any and all claims and demands arising out of or in connection with the use, display, and distribution of the Work.****I declare I have read the above, fully understand its meaning and effect, and freely and voluntarily agree to be bound by it**                    **Signature of Student Print Name Date****OPTIONAL:****EMBARGO OF DISSERTATION****Complete this section ONLY if an embargo is being requested.****Occasionally, there are unusual circumstances under which you may want to withhold your dissertation from publication. Such circumstances include:** **1. The disclosure of patentable rights in the work before a patent can be granted** **2. Similar disclosures detrimental to the rights of the author** **3. Disclosures of facts about persons or institutions before professional ethics would permit such disclosures****To request that your dissertation be withheld for substantiated circumstances of the kind listed above, select the time frame that the dissertation be withheld from publication and sign below. The right to an embargo rests with the student however; you should consult with your dissertation committee members for advice.** **I would like my dissertation to be withheld for:****Embargo Period: [ ]  Six Months [ ]  One Year [ ]  Two Years [ ]  Three Years [ ]  Four Years**  **[ ]  Five Years [ ]  Six Years [ ]  Beyond 6 years (exception memo required).1**                     **Signature of Student Print Name Date****1 Note: In the event you need to request an embargo beyond six years, you must sign and submit a detailed exception memo to the Dean of Graduate Division along with your final degree paperwork for approval.** |

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| **BACKGROUND INFORMATION FOR COMPLETING PH.D. FORM II** | **NOTE:** Much of the text below is adapted from UCI’s Graduate Policies & Procedures Handbook:[**http://www.grad.uci.edu/forms/faculty-and-staff/Graduate%20Policies%20and%20Procedures.pdf**](http://www.grad.uci.edu/forms/faculty-and-staff/Graduate%20Policies%20and%20Procedures.pdf) |
| **FINAL EXAMINATION**If a final examination is required by the graduate program, the Doctoral Committee supervises that examination, the focus of which is the content of the doctoral dissertation. Ordinarily, the final examination will be given just prior to the completion of the dissertation and while the student is in residence during a regular academic session, and will be open to all members of the academic community. Administration of the final examination is subject to the policies of the Graduate council governing critical examinations. This policy is outlined in Section VI, C, of the UCI *Graduate Policies & Procedures Handbook*.***Report on the Final Examination: Ph.D. Form II***Upon completion of the final examination (if required) and approval of the dissertation, the Doctoral Committee recommends, by submission of Ph.D. Form II (i.e., the attached form), the conferral of the Ph.D. degree subject to final submission of the approved dissertation for deposit in the University Archives, or by on-line submission to the Proquest website.**DISSERTATION**The submission of the dissertation is the last step in the program leading to the award of an advanced degree. All dissertations submitted in fulfillment of the requirements for advanced degrees at UCI must conform to certain University regulations and specifications with regard to format and method of preparation.The UCI Thesis and Dissertation Manual for writing and submitting dissertations is available at<http://www.lib.uci.edu/libraries/collections/special/uci_td/tdmanual.html> Students are encouraged to attend quarterly information sessions that discuss manuscript preparation and filing procedures.The Doctoral Committee certifies that the completed dissertation is satisfactory through the official original signatures of all committee members on the signature page of the completed dissertation. The doctoral Committee Chair is responsible for the content and final presentation of the manuscript. |
|  1. How to File the Dissertation

 **Paper Submission**After the dissertation has been approved by the committee, two copies are submitted with the appropriate formats to Special Collection and Archives (archives@uci.edu) in the Langson Library, Room 525. The librarian will briefly check to make sure certain aspects of the manuscript are complete and prepared correctly according to the *UCI Thesis and Dissertation Manual* and include verification of the appropriate paper type, margins, and pagination. The librarian will also verify that the committee signatures have been obtained and the degree paperwork has been completed.**Electronic Submission**After the dissertation has been approved by the committee, the doctoral dissertation must be submitted as a single electronic Portable Document (PDF) file at the UCI Dissertation Submission Site at [www.etdadmin.com/uci](http://www.etdadmin.com/uci) Website administrators will review the file to make sure certain aspects are complete and prepared correctly according to the UCI Thesis and Dissertation Manual; students will be notified of any changes that need to be made as well as final approval. In addition to the on-line submission students must submit all required paperwork to the Graduate Division, 120 Aldrich Hall to complete the dissertation submission process.1. Deadline for Filing the Dissertation

The advance degree manuscript is expected to be submitted by the deadline in the quarter in which the degree is to be conferred. Friday of the tenth week of classes is the deadline for submitting theses and dissertations during each quarter. Those students who complete requirements and submit dissertations after the end of the tenth week of classes and prior to the start of the subsequent quarter will earn a degree for the following quarter, but will not be required to pay fees for that quarter. In such cases, to avoid payment of fees, the manuscript, all forms and degree paperwork must be submitted prior to the first day of the quarter in which the degree is to be earned. Additionally, with the degree no fee option, it is a requirement for students to be enrolled or on filing fee the quarter before in order to qualify for this option. Students coming off a leave of absence do not qualify for the degree no fee option. The regular degree deadlines are published on the Graduate Division website (<http://www.grad.uci.edu/academics/filing%20deadlines/index.html> ) each quarter, for the degree no fee deadlines please contact your department.1. Public Access to the Dissertation

In accordance with UC and UCI policy, all approved these/dissertation manuscripts automatically become available for public access and circulation as part of the UCI Libraries collections. |